

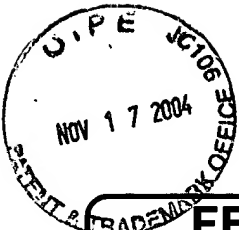
2611

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|---|----|------------------------|-------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application No. | 09/515,152 |
| | | Filing Date | February 29, 2000 |
| | | First Named Inventor | Tsutomu Shimomura |
| | | Art Unit | Unassigned |
| | | Examiner Name | Unassigned |
| Total Number of Pages in This Submission | 17 | Attorney Docket Number | 4259P057D1 |

| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">Return Postcard</div> |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Thomas C. Webster, Reg. No. 46,154 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature | |
| Date | 11/12/04 |

| CERTIFICATE OF MAILING/TRANSMISSION | | | |
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| Typed or printed name | Janece Shannon | | |
| Signature | | Date | 11-12-2004 November 12, 2004 |



FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 09/515,152
Filing Date February 29, 2000
First Named Inventor Tsutomu Shimomura
Examiner Name Unassigned
Art Unit Unassigned
Attorney Docket No. 4259P057D1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account

Deposit Account Number 02-2666
Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1001 | 790 | 2001 | 395 | Utility filing fee | |
| 1002 | 350 | 2002 | 175 | Design filing fee | |
| 1003 | 550 | 2003 | 275 | Plant filing fee | |
| 1004 | 790 | 2004 | 395 | Reissue filing fee | |
| 1005 | 160 | 2005 | 80 | Provisional filing fee | |
| SUBTOTAL (1) | | | | (\$) | |

2. EXTRA CLAIM FEES

Total Claims 20** = X =
Independent Claims 3 = X =
Multiple Dependent =

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|---|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 | |
| 1201 | 88 | 2201 | 44 | Independent claims in excess of 3 | |
| 1203 | 300 | 2203 | 150 | Multiple Dependent claim, if not paid | |
| 1204 | 88 | 2204 | 44 | **Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | (\$) | |

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|---------------------|----------|--------------|----------|--|----------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| 2053 | 130 | 2053 | 130 | Non-English specification | |
| 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1252 | 430 | 2252 | 215 | Extension for reply within second month | |
| 1253 | 980 | 2253 | 490 | Extension for reply within third month | |
| 1254 | 1,530 | 2254 | 765 | Extension for reply within fourth month | |
| 1255 | 2,080 | 2255 | 1,040 | Extension for reply within fifth month | |
| 1404 | 340 | 2401 | 170 | Notice of Appeal | |
| 1402 | 340 | 2402 | 170 | Filing a brief in support of an appeal | |
| 1403 | 300 | 2403 | 150 | Request for oral hearing | |
| 1451 | 1,510 | 2451 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,370 | 2453 | 685 | Petition to revive - unintentional | |
| 1501 | 1,370 | 2501 | 685 | Utility issue fee (or reissue) | |
| 1502 | 490 | 2502 | 245 | Design issue fee | |
| 1503 | 660 | 2503 | 330 | Plant issue fee | |
| 1460 | 130 | 2460 | 130 | Petitions to the Commissioner | |
| 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 | 790 | 1809 | 395 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 790 | 2810 | 395 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | | Submission of Information Disclosure Stmt prior to First Office Action | 0.00 |

*Reduced by Basic Filing Fee Paid

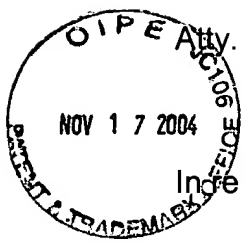
SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Thomas C. Webster Registration No. 46,154 Telephone (408) 720-8300
Signature [Signature] Date 11/12/04

11/12/04 TC



Atty. Docket No. 04259.P057D1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Shimomura, et al.

Application No. 09/515,152

Filed: February 29, 2000

For: METHODS OF OPERATING A
DATA BROADCAST SERVICE

Examiner: Unassigned

Art Unit: 2611

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant hereby requests consideration of the enclosed Information Disclosure Statement pursuant to 37 C.F.R §1.97(b). Attached are the PTO Forms 1449 and Forms 892 from the parent application filed on April 16, 1999 (Serial No. 09/293,594) The previous application is relied upon for an earlier filing date under 35 U.S.C. §120.

Pursuant to C.F.R. §1.98(d), copies of the references are not being provided herewith since they were previously sent to the Patent and Trademark Office. Please consider these cited documents in the currently pending §1.53(b) divisional application filed on February 29, 2000.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: November 12, 2004
Date of Deposit

Janece Shannon
Name of Person Mailing Correspondence

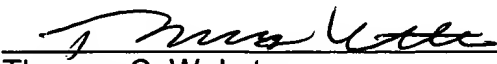
Janece Shannon 11-12-2004
Signature Date

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 11/12, 2004


Thomas C. Webster
Reg. No. 46,154

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1026
(408) 720-8300

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| | | | | Application Number | 09/293,594 |
| | | | | Filing Date | 4/16/99 |
| | | | | First Named Inventor | Shimomura |
| | | | | Group Art Unit | 2711 |
| | | | | Examiner Name | K. Bui |
| Sheet | 1 | of | 7 | Attorney Docket Number | GEOC.P0003 |

| U.S. PATENT DOCUMENTS | | | | |
|------------------------|--------------------------------|--|--|----------------------------|
| Examiner Initials * | U.S. Patent Document Number | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Filing Date if Appropriate |
| | 5,671,377 | Bleidt, et al. | 9/23/1997 | 7/19/1994 |
| | 5,828,839 | Moncreiff; Craig T | 10/27/1998 | 11/14/1996 |
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|--------------------------|--|-------------------------|--------|------|--|--|-----------------------|
| Examiner Initials * | | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Translation Yes/No |
| | | Office or Country | Number | Date | | | |
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| OTHER DOCUMENTS | | |
|------------------------|--|------------------------|
| Examiner Initials * | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known). | Translation? Yes/No |
| | Cyberstar Brochure, Cyberstar L.P., October 9, 1998, 11 pages. | |
| | MediaStream MRX-2 PC-DVB Satellite Receiver Card Brochure, Media4, Inc., 8/1998, 2 pages. | |
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| Examiner Signature | Date Considered | |
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| | |
|------------------------|------------|
| Application Number | 09/293,594 |
| Filing Date | 4/16/99 |
| First Named Inventor | Shimomura |
| Group Art Unit | 2711 |
| Examiner Name | K. Bui |
| Attorney Docket Number | GEOC.P0003 |

Sheet 2 of 7

U.S. PATENT DOCUMENTS

| Examiner Initials * | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Filing Date if Appropriate |
|------------------------|----------------------|--|--|--|----------------------------|
| | Number | | | | |
| | 5,874,985 | | Matthews, III; Joseph H. | 2/23/1999 | 11/12/1997 |
| | 5,748,789 | | Lee, et al. | 5/5/1998 | 10/31/1996 |
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| Examiner Initials * | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Translation? Yes/No |
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OTHER DOCUMENTS

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| | MediaStream Broadcast Server Brochure, Media4, Inc., 5/1998, 2 pages. | |
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| Application Number | 09/293,594 |
| Filing Date | 4/16/99 |
| First Named Inventor | Shimomura |
| Group Art Unit | 2711 |
| Examiner Name | K. Bui |
| Attorney Docket Number | GEOC.P0003 |

Sheet 3 of 7

U.S. PATENT DOCUMENTS

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|------------------------|----------------------|-----------|--|--|----------------------------|
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| | | 5,615,264 | Kazmierczak, et al. | 3/25/1997 | 6/8/1995 |
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Sheet 4 of 7

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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| Group Art Unit | 2711 |
| Examiner Name | K. Bui |
| Attorney Docket Number | GEOC.P0003 |

Sheet 5 of 7

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| | 5,774,172 | | Kapell, et al. | 6/30/1998 | 2/12/1996 |

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| | | | Application Number | 09/293,594 | |
| | | | Filing Date | 4/16/99 | |
| | | | First Named Inventor | Shimomura | |
| | | | Group Art Unit | 2711 | |
| | | | Examiner Name | K. Bui | |
| | | | Attorney Docket Number | GEOC.P0003 | |
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Application Number 09/293,594

Filing Date 4/16/99

First Named Inventor Shimomura

Group Art Unit 2711

Examiner Name K. Bui

Sheet 7 of 7 Attorney Docket Number GEOC.P0003

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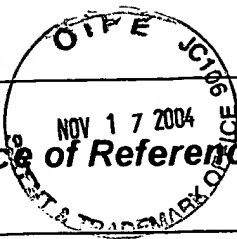
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"Krista" Kieu-Oanh Bui

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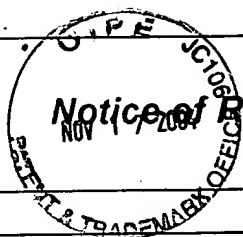
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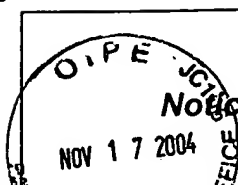
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